



# V.B. INDUSTRIES LIMITED

CIN No.: L51909WB1982PLC035222

P-27, PRINCEP STREET, 3RD FLOOR,  
KOLKATA - 700072

Tele-fax : 033 - 2234 9915, 2234 6937

E-mail : vbindustries1@gmail.com

Website : www.vbindustriesltd.in

May 22, 2021

The Deputy Manager  
Dept. of Corporate Services  
BSE Limited  
P. J. Towers, Dalal Street, Fort  
Mumbai – 400 001

The Company Secretary  
The Calcutta Stock Exchange Association Ltd.  
7, Lyons Range  
Kolkata-700 001

Ref: **Scrip Code BSE – 539123, CSE-10032008**

Sub: **Submission of Annual Secretarial Compliance Report**

Respected Sir or Madam,

With reference to the above captioned subject matter and pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/ 2019 dated 8 February 2019; enclosed please find the Annual Secretarial Compliance Report for the Financial Year 2020-21.

Kindly take the same on your record & oblige.

Thanking You,

Yours Faithfully,  
For V. B. INDUSTRIES LIMITED

*Gwal Das Vyas*  
GWAL DAS VYAS  
DIN: 01319377  
MANAGING DIRECTOR

Enclosed: As stated above



**SANJAY KUMAR VYAS**  
**COMPANY SECRETARY IN PRACTICE**

Office Address: - 20, Pannalal Basak Lane, Liluah, Howrah-711 204

Email ID : - [sanjayvyas1802@gmail.com](mailto:sanjayvyas1802@gmail.com)

Phone No.: +91 9874730085

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To  
The Board of Directors  
V B industries Limited  
Kolkata – 700 001

Sub.: **Annual Secretarial Compliance Report for the Financial Year 2020-21**

Dear Sir,

We have been engaged by **V B Industries Limited** (hereinafter referred to as the "Company") bearing CIN: L51909WB1982PLC035222 whose Equity Shares are listed on BSE Limited (BSE) and Calcutta Stock Exchange Association Limited (CSE) to conduct an Audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended and read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company records, devise proper systems to ensure Compliance with the provisions of all the applicable SEBI Regulations and Circulars/Guidelines issued thereunder from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify Compliance by the Company with the provisions of all applicable SEBI Regulations and Circulars/Guidelines issued from time to time and issue a Report thereon.

Our Audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications considered and necessary and adequate for the said purpose. Annual Secretarial Compliance is attached herewith.

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**SANJAY KUMAR VYAS**  
Practicing Company Secretary  
ACS No. 55689, C.P. No. 21598

Place: Kolkata  
Date: May 21, 2021



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## **ANNUAL SECRETARIAL COMPLIANCE REPORT**

### **FOR THE FINANCIAL YEAR ENDED ON 31<sup>ST</sup> MARCH 2021**

[Pursuant to Section 204(1) of the Companies Act, 2013 and Rule No. 9 of the Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014.]

The Members,  
V B Industries Limited  
Kolkata, West Bengal

#### **We have examined:**

- a) All the documents and records made available to us and explanations provided by the Company;
- b) the filings/submissions made by the company to the Stock Exchanges;
- c) Website of the Company;
- d) Any other documents/filings, as may be relevant, which has been relied upon to prepare this Certificate.

#### **For the financial year ended on March 31, 2021 in respect of Compliance with the provisions of:**

1. The Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, Circular, Guidelines issued thereunder and;
2. The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under and the Regulations, Circular, Guidelines issued thereunder by the Securities & Exchange Board of India (SEBI);

The specific Regulations whose provisions and the Circulars/Guidelines issued thereunder have been examined, includes -

- a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
- b) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **Not Applicable for the period**
- c) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **Not Applicable for the period**
- d) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not Applicable for the period**
- e) The Securities and Exchange Board of India (Share Based Employees Benefits) Regulations, 2014; **Not Applicable for the period**



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- f) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not Applicable for the period**
- g) The Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable for the period**
- h) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993, regarding the Companies Act and dealing with client;
- i) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; **Not Applicable for the period**
- j) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018; **Not Applicable for the period**
- k) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable for the period**

and circulars/ guidelines issued thereunder and based on the above examination, we hereby report that, during the Review Period:

- i. The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from our examination of those records.
- ii. The following are the details of actions taken against the Company, its Promoters, Directors, either by the SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various Circulars) under the aforesaid Acts/Regulations and Circulars/Guidelines issued there under –

Sr. No.	Action Taken by	Details of Violation	Details of Action/ Observations/ violation taken E.g. fines, remarks of the warning letter, debarment, etc.	Observations/ Remarks of the Practicing Company Secretary, if any
1.	BSE	Regulation 14 of SEBI LODR Regulations, 2015, Non-Payment of Listing Fees	Trading in the Shares of the company has been restricted (moved to GSM)	The Company is yet to pay Listing Fees for FY 2018-19, 2019-20 & FY 2020-21
2.	SEBI	Order has been issued by SEBI for	SEBI freezed Demat Accounts of the	SEBI Hearing has been



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	observations made in the Forensic Audit Report for FY 2015-16, 2016-17 & 2017-18	Company and its Promoters/Directors for debit (Sale / withdrawal of Shares)	scheduled on May 5, 2021 in the matter.
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- iii. During the period under review the Company has complied with the provisions of the Act, Rules, Regulations, Circulars, Guidelines, Standards, etc. mentioned above, except in respect of matters specified below:

Compliance Requirement (Regulations/Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company)
Regulation 14, of SEBI LODR Regulations, 2015	Listing Fees for FY 2018-19, 2019-20 & FY 2020-21 has not paid	Co. is yet to pay Listing Fees for last three financial years

- iv. The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the Year ended 31 <sup>st</sup> March 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity
1.	Regulation 14 of SEBI LODR Regulations, 2015, Non-Payment of Listing Fees for FY 2018-19, 2019-20 & FY 2020-21	Regulation 14 of SEBI Exchange Regulations, Non-Payment of Listing Fees for FY 2018-19, 2019-20 & FY 2020-21	The Company is yet to pay pending Listing Fees	The Company is in violation of Regulation 14 for non-payment of outstanding Listing Fees
2.	Delayed filing of Corporate Governance Report for QE Sept 2019	Delayed filing of C G Report for QE Sept 2019	The Delay was due to Excel utility Error	No action has been taken by BSE for delayed submission

- v. I, further, report that there was no event of appointment/ re-appointment/ resignation of Statutory Auditor of the Listed Entity during the review period and the



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Listed Entity has not modified the terms of appointment of its existing Auditor. In this regard, I report that the Listed Entity has complied with Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019.

- vi. To restrict the spread of COVID-19 the report was carried out from remote locations i.e. other than the office of the Company and based on the data/details made available and based on financial information/records remitted by the management through digital medium

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**SANJAY KUMAR VYAS**

Practicing Company Secretary

ACS No. 55689, C.P. No. 21598

UDIN: A055689C000352568

Place: Kolkata

Date: May 21, 2021